

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom, Michael S. Spector and John L. Jacobs Art Unit : 3693
Serial No. : 10/001,900 Examiner : Sara M. Chandler
Filed : November 14, 2001 Conf. No. : 8995
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

MAIL STOP AF

Commissioner for Patents
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AMENDMENT IN REPLY TO ACTION OF JULY 24, 2007

Please amend the above-identified application as follows:

CERTIFICATE OF MAILING BY EFS-WEB FILING

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: October 31, 2007